



EXPRESS MAIL MAILING LABEL NO. EL934410477US

6
PATENT

Attorney Docket No. AFN-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.: 10/053,353

GROUP NO.: 2161

FILING DATE: November 9, 2001

EXAMINER: Not yet assigned

TITLE: Method and Apparatus For Dynamic, Real-Time Market Segmentation

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Attached please find the formal drawing(s) for this application - Number of Sheets - 21.

Respectfully submitted,



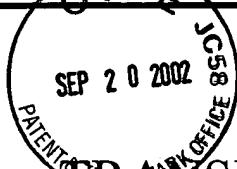
Brian Fairchild
Agent for Applicant(s)
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: September 20, 2002
Reg. No. 48,645

Tel. No.: (617) 248-7697
Fax No.: (617) 248-7100

2305720

09-23-UR-B



**TRANSMITTAL
FORM**

Application Serial Number	10/053,353
Filing Date	November 9, 2001
First Named Inventor	Afeyan
Group Art Unit	2161
Examiner Name	Not yet assigned
Attorney Docket No.	AFN-001
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

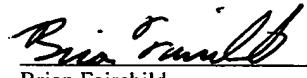
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application <input checked="" type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
<input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input checked="" type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations	<input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program	Transmittal of Formal Drawings (1 pg.).
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance	
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

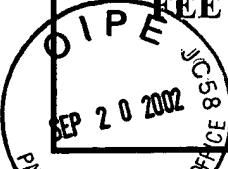
SIGNATURE BLOCK

Respectfully submitted,


 Brian Fairchild
 Attorney for Applicant(s)
 Testa, Hurwitz & Thibeault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

**FEES TRANSMITTAL
FY 2002**

Complete if Known	
Application Serial Number	10/053,353
Filing Date	November 9, 2001
First Named Inventor	Afeyan
Group Art Unit	2161
Examiner Name	Not yet assigned
Attorney Docket No.	AFN-001



METHOD OF PAYMENT		FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. ADDITIONAL FEES			
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit. 3. <input checked="" type="checkbox"/> Applicant claims small entity status.		Large Entity Fee (\$)	Small Entity Fee (\$)		
		Fee Description	Fee Paid		
		130	65	Surcharge - late filing fee or oath	65.00
		50	25	Surcharge - late provisional filing fee or cover sheet	
		130	130	Non-English specification	
		2,520	2,520	Request for ex parte reexamination	
		110	55	Extension for reply within first month	
		400	200	Extension for reply within second month	
		920	460	Extension for reply within third month	
		1440	720	Extension for reply within fourth month	720.00
		1960	980	Extension for reply within fifth month	
		320	160	Notice of Appeal	
		320	160	Filing a brief in support of an appeal	
		280	140	Request for oral hearing	
		130	130	Petitions to the Commissioner	
		180	180	Submission of Information Disclosure Statement	
		740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
		740	370	For each additional invention to be examined (37 CFR 1.129(b))	
		100	100	Certificate of Correction for applicant's error	
		Other fee (Specify)			
		Other fee (Specify)			
1. FILING FEE				SUBTOTAL (3) (\$) 785.00	
Large Entity Fee (\$)		Fee Description		Fee Paid	
740 Utility filing fee 330 Design filing fee 160 Provisional filing fee				740.00	
		Number Filed	Number Extra	Rate	Amount
Total Claims - 20 =		x \$ 18.00 =		0.00	
Independent Claims - 3 =		x \$ 84.00 =		0.00	
<input type="checkbox"/> Multiple Dependent Claim(s), if any				\$280.00 = 0.00	
				TOTAL: 740.00	
				SMALL ENTITY DISCOUNT: 370.00	
		SUBTOTAL (1)		(\$) 370.00	
2. AMENDMENT CLAIM FEES				SUBTOTAL (3)	
Claims Highest No. Present Rate Fee Paid				(\$)	
Remaining Previously Extra				785.00	
After Amend. Paid For					
Total 20 - 20 = 0 x \$ 18.00 = 0.00				SUBTOTAL (1) 370.00	
Indep. 2 - 3 = 0 x \$ 84.00 = 0.00				SUBTOTAL (2) 0.00	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				SUBTOTAL (3) 785.00	
TOTAL: (\$).00					
SMALL ENTITY DISCOUNT: (\$).00					
SUBTOTAL (2)		(\$).00		TOTAL	
				(\$)	
				1,155.00	
CORRESPONDENCE ADDRESS		SIGNATURE BLOCK			
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		Respectfully submitted, Brian Fairchild Agent for the Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower-125 High Street Boston, MA 02110			

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UNITED STATES PATENT AND TRADEMARK OFFICE

#3

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,353	11/09/2001		AFN-001

021323
TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110



CONFIRMATION NO. 5804
FORMALITIES LETTER



OC000000007678936

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/25/2002 MBIZINES 00000020 10053353

01 FC:201
02 FC:205370.00 OP
65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2586.
 - \$1746 for 97 total claims over 20.
 - \$840 for 10 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3456.**

*A copy of this notice **MUST** be returned with the reply.*

JULY 8 2002

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE